Customers' Investments

Fifth: Customers' Investments

Investment Portfolios and Mutual Funds

QCB must be provided with the periodical data of investment portfolios and mutual funds that are managed or marketed by the banks for their customers whether inside or outside Qatar, according to the attached forms and statements in annexes no. (52) & (53). Please ensure the following:

1- The portfolios and funds mentioned in the statement do not include the portfolios and funds traded directly in the international markets, in which the customers are using the bank's dealing room, but they include all the portfolios and funds that are fully or partially managed by the bank, or their units are marketed and distributed by the bank in conformity with contracts and the agreements signed with customers or with financial institutions establishing or managing those portfolios and funds.

2- For each portfolio or fund, fill in the attached form.

3- The attached subscription statement should be filled in, based on the data collected from the attached forms and check that the data and the numbers in each of the forms and the subscription statement are identical.

4- The required data should be filled as at 30/06, 31/12 of each year and submitted to QCB by 15/07, 15/01 each year.

5- Upon implementing the above-mentioned instructions banks should ensure the following:
   • Accuracy and transparency upon filling up the required forms.
   • Forms should be filled by printing, and not handwritten.
   • Forms must be approved by the general manger and sealed by the bank.
   • Forms must have the signature of the bank's compliance officer, indicating that he reviewed and checked compliance with QCB’s requirements.