

Qatar Central Bank
Doha - Qatar

Request Form for Establishment of Mutual Fund

1- Mutual Fund data:

Suggested name for mutual fund and its address:

Mutual fund type Open ended mutual fund Close ended mutual fund

Place of mutual fund investments: Inside Qatar Outside Qatar Inside and outside Qatar

Investment Type:

Currency for assessing the mutual fund: Qatari Riyal Other currencies

Target capital of mutual fund:

No. of units: Nominal Value of the unit: Total QR:QR

Duration of mutual fund:

2- Founder of the mutual fund:

a. Name:

b. Address of headquarter for practicing business in Qatar:

c. Duration of business in Qatar:

3- Investment trustee and address of headquarter:

4- Mutual fund manager and address of headquarter:

5- Auditor's Name:

Acknowledgment & Undertaking

We the undersigned and the applicants for establishing mutual funds, acknowledge that we are acquainted with the decree-law no. (25) of 2004, for establishing mutual funds and with the decision of the Minister of Economy and Trade no. (69) of year 2004, for issuing the executive regulation under mutual funds law. We undertake to comply with all the articles and executive regulations of law and to fulfill all the requirements of Qatar Central Bank. We undertake to comply with all regulations issued by QCB for organizing mutual funds actions, supervising and monitoring them. We undertake that all the information and documents provided to QCB are true and correct, and accurate and that there is no other additional important information, as far as we know, that could affect the approval decision of QCB and Ministry of Economy and Trade on this application.

Name: **in my capacity as:**

Founder's Signature

Required attachments:

- Statute
- Bulletin Form of underwriting in mutual fund
- Form of underwriting application
- Form of underwritten units certification
- Form of personal information of mutual fund manager (according to one of the two attached forms)
- Any other important information:
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Name of Mutual Fund:

Personal Information for Appointing Manager of the Mutual Fund (Body Corporate)

- 1) Name:
- 2) Present Address in Qatar:
- 3) Headquarter:
- 4) Start Date of Activity:
- 5) Nationality:
- 6) Type of activity:
- 7) Mutual funds that you are managing now inside or outside Qatar:

Mutual Fund Name	Location	Type of investment
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.....
.....

- 8) No. of mutual funds that you have managed previously during the last ten years:

Mutual Fund Name	Location	Type of investment
.....
.....
.....

Questionnaire		YES	NO
9)	Have you or one of the subsidiary or affiliate companies any relationship with any member of the board of directors' or with executive managers with mutual fund founder? (If the answer is yes, mention the details)		
10)	Have you ever managed a company or a mutual fund whose license was cancelled or had been liquidated by a court order? (If the answer is yes, mention the details)		
11)	Have you ever declared your bankruptcy or ceased to pay your debts, or made any agreement to reschedule your debts? (If the answer is yes, mention the details)		
12)	Is running mutual fund considered to be one of the activities licensed for you according to your statute? (Attached what proves that)		

I hereby acknowledge that all the information furnished to the above questions is complete and correct. I undertake to notify Qatar Central Bank immediately of any changes that may occur in the above information.

Name:

Signature:

Date:

* Remarks: Attached the board of directors' approval for managing the mutual fund

Name of Mutual Fund:

Personal Information for Appointing Manager of Mutual Fund (Natural Person)

- 1) Name:
- 2) Nominated Position:
- 3) Present Address in Qatar:
- 4) Permanent Address:
- 5) Date and Place of Birth:
- 6) Nationality:
- 7) Academic Qualifications and Specializations:
- 8) Present Occupation, Entity and Appointment Date:
- 9) Previous Jobs and Entities (within ten years):
- 10) Other Experiences:

11) Mutual funds that you are currently managing inside or outside Qatar:

Mutual Fund Name	Location	Type of Investment
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.....
.....

12) No. of mutual funds that you have managed during the last ten years:

Mutual Fund Name	Location	Type of investment
.....
.....
.....

Questionnaire		NO	YES
13)	Have you or any one of your family or relatives a friend or family any relationship with any member of the board of directors or executive managers with mutual fund founder? (If the answer is yes, mention the details)		
14)	Have you ever worked at a company whose license was cancelled or had been liquidated by a court judgment? (If the answer is yes, mention the details)		
15)	Have you ever been sentenced to prison in any country for committing crime involving moral turpitude or dishonesty? (If the answer is yes, mention the details)		
16)	Have you ever declared your bankruptcy or ceased to pay your debts or have you made any agreement to reschedule your debts? (If the answer is yes, mention the details)		
17)	Have you ever been discharged or prevented from practicing any profession? (If the answer is yes, mention the details)		

Part (XIII) - Tables, Forms and Filling Up Instructions

I hereby acknowledge that all the information furnished to the aboved questions is complete and correct. I undertake to notify Qatar Central Bank immediately of any changes that may occur in the above information.

Name:
Signature:
Date:

Note: It is required to provide QCB with a copy of the confirmatory documents for the certificates, practical experiences and personal identification.